

22883

PTO/SB/21 (08-00)

PATENT TRADEMARK OFFICE

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

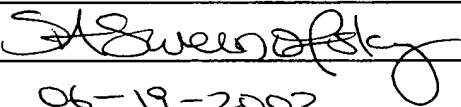
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/093,533	
	Filing Date	Jun 8, 1998	
	First Named Inventor	Malcolm	
	Group Art Unit	2161	
	Examiner Name	Wang, M.	
Total Number of Pages in This Submission	14	Attorney Docket Number	101.1001.02

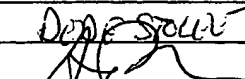
ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached (PTO Form 2038 - See Other Enclosures) <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) - <u>4 sheets</u> <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal of Issue Fee Letter (with attachment) Part B - Issue Fee Transmittal (2 copies) Credit Card Payment Form PTO - 2038 (Utility Issue Fee/Publication Fee/Patent Copies Fee) Transmittal of Formal Drawings Letter Return Postcard		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven A. Swernofsky	Reg. no. 33,040
Signature		
Date	06-19-2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>6-19-2002</u>			
Type or printed name	<u>DEP STOLL</u>		
Signature		Date	<u>6-19-2002</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT TRADEMARK OFFICE

Attorney Docket 101.1001.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Malcolm

Art Unit: 2161

Serial No. 09/093,533

Examiner: Wang, M.

Filed: 6/8/1998

For: Network Object Cache Engine

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents
BOX ISSUE FEE
Washington, D.C. 20231

on 6-19-2002 by [Signature]
Date Name

TRANSMITTAL OF ISSUE FEE

Honorable Assistant Commissioner
for Patents
BOX ISSUE FEE
Washington, D.C. 20231

Dear Sir:

With respect to the above-identified patent application, enclosed herewith for filing are the following:

1. Part B — Issue Fee Transmittal (2 copies);
2. Fee Transmittal Form SB/17 (2 copies); and
3. Credit Card payment form in the amount of \$1589.00 for

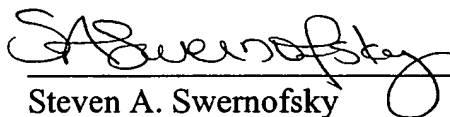
payment of the Issue Fee (\$1280.00), Publication Fee (\$300.00), and

for three (3) copies of the printed patent (\$9.00).

Please note that the enclosed Part B – Issue Fee Transmittal generated by the Patent Office indicates that this applicant is a small entity. This application was filed under small-entity status, but small-entity status is no longer applicable to this applicant (see Change of Status Letter attached); therefore, credit card payment authorization for the large-entity issue fee (\$1,280.00) is enclosed.

Dated: 06-19-2002

Respectfully submitted,



Steven A. Swernofsky
Reg. No. 33,040

Swernofsky Law Group
P.O. Box 390013
Mountain View, CA 94039-0013
(650) 947-0700

PATENT (POST-APPLICATION) POSTCARD**This confirms receipt of the following papers at the USPTO:**

Docket No: 101.1001.02

1st Inventor: Malcolm

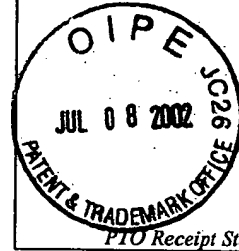
Title of Inv: Network Object Cache Engine

Serial No: 09/093,533

Filing Date: 6/8/1998

CTE

<input checked="" type="checkbox"/> Transmittal Form SB/21	
<input checked="" type="checkbox"/> Fee Transmittal Form SB/17 (2 copies)	
<input type="checkbox"/> Check no: _____	Check Amnt: \$ _____
<input checked="" type="checkbox"/> Credit Card Payment Form SB-2038	
<input type="checkbox"/> Copy of Notice to File Missing Parts	<input type="checkbox"/> Declaration(s) or Oath(s)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> 37 CFR 3.73(b) Stmt
<input type="checkbox"/> Assignment(s)	<input type="checkbox"/> Recordation Cover Sheet
<input type="checkbox"/> Request for Corrected Filing Receipt	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> 37 CFR 197(e) Statement
<input type="checkbox"/> PTO Form SB/08A (patents) _____	No. of pgs <input type="checkbox"/> PTO Form SB/08B (non-patents) _____
<input type="checkbox"/> Copies of References	Source of References: _____
<input type="checkbox"/> Response to Office Action dated _____	<input type="checkbox"/> Petition for Extension of Time SB/22
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Continued Examination (RCE) SB/30	<input type="checkbox"/> CPA Request Transmittal SB/29 (2 copies)
<input checked="" type="checkbox"/> Transmittal of Issue Fees Letter	<input checked="" type="checkbox"/> Issue Fee Transmittal Part B (2 copies)
<input checked="" type="checkbox"/> Transmittal of Formal Drawings Letter	<input checked="" type="checkbox"/> Formal Drawings _____ 4 No. of pgs
<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Return Postcard
<input checked="" type="checkbox"/> Other: Change of Status Letter	



Drafted By: DB

PTO Customer No: 22883

Mailed By: DRS

Mailing Date: 6-19-2002

RECEIVED

July 23 2002

SWERNOFSKY
LAW GROUP**PATENT (POST-APPLICATION) POSTCARD****This confirms receipt of the following papers at the USPTO:**

Docket No: 101.1001.02

1st Inventor: Malcolm

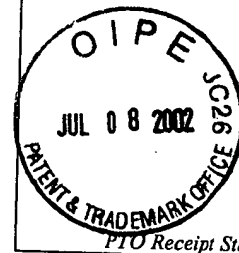
Title of Inv: Network Object Cache Engine

Serial No: 09/093,533

Filing Date: 6/8/1998

CTE

<input checked="" type="checkbox"/> Transmittal Form SB/21	
<input checked="" type="checkbox"/> Fee Transmittal Form SB/17 (2 copies)	
<input type="checkbox"/> Check no: _____	Check Amnt: \$ _____
<input checked="" type="checkbox"/> Credit Card Payment Form SB-2038	
<input type="checkbox"/> Copy of Notice to File Missing Parts	<input type="checkbox"/> Declaration(s) or Oath(s)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> 37 CFR 3.73(b) Stmt
<input type="checkbox"/> Assignment(s)	<input type="checkbox"/> Recordation Cover Sheet
<input type="checkbox"/> Request for Corrected Filing Receipt	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> 37 CFR 197(e) Statement
<input type="checkbox"/> PTO Form SB/08A (patents) _____	No. of pgs <input type="checkbox"/> PTO Form SB/08B (non-patents) _____
<input type="checkbox"/> Copies of References	Source of References: _____
<input type="checkbox"/> Response to Office Action dated _____	<input type="checkbox"/> Petition for Extension of Time SB/22
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Continued Examination (RCE) SB/30	<input type="checkbox"/> CPA Request Transmittal SB/29 (2 copies)
<input checked="" type="checkbox"/> Transmittal of Issue Fees Letter	<input checked="" type="checkbox"/> Issue Fee Transmittal Part B (2 copies)
<input checked="" type="checkbox"/> Transmittal of Formal Drawings Letter	<input checked="" type="checkbox"/> Formal Drawings _____ 4 No. of pgs
<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Return Postcard
<input checked="" type="checkbox"/> Other: Change of Status Letter	



Drafted By: DB

PTO Customer No: 22883

Mailed By: DRS

Mailing Date: 6-19-2002

PATENT (POST-APPLICATION) POSTCARD

This confirms receipt of the following papers at the USPTO:

Docket No: 101.1001.02

Serial No: 09/093,533

1st Inventor: Malcolm

Filing Date: 6/8/1998

Title of Inv: Network Object Cache Engine

<input checked="" type="checkbox"/> Transmittal Form SB/21	
<input checked="" type="checkbox"/> Fee Transmittal Form SB/17 (2 copies)	
<input type="checkbox"/> Check no: _____ Check Amnt: \$ _____	
<input checked="" type="checkbox"/> Credit Card Payment Form SB-2038	
<input type="checkbox"/> Copy of Notice to File Missing Parts	<input type="checkbox"/> Declaration(s) or Oath(s)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> 37 CFR 3.73(b) Stmt
<input type="checkbox"/> Assignment(s)	<input type="checkbox"/> Recordation Cover Sheet
<input type="checkbox"/> Request for Corrected Filing Receipt	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> 37 CFR 197(e) Statement
<input type="checkbox"/> PTO Form SB/08A (patents) _____ No. of pgs	<input type="checkbox"/> PTO Form SB/08B (non-patents) _____ No. of pgs
<input type="checkbox"/> Copies of References	Source of References: _____
<input type="checkbox"/> Response to Office Action dated _____	<input type="checkbox"/> Petition for Extension of Time SB/22
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Continued Examination (RCE) SB/30	<input type="checkbox"/> CPA Request Transmittal SB/29 (2 copies)
<input checked="" type="checkbox"/> Transmittal of Issue Fees Letter	<input checked="" type="checkbox"/> Issue Fee Transmittal Part B (2 copies)
<input checked="" type="checkbox"/> Transmittal of Formal Drawings Letter	<input checked="" type="checkbox"/> Formal Drawings _____ 4 No. of pgs
<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Return Postcard
<input checked="" type="checkbox"/> Other: Change of Status Letter	

PTO Receipt Stamp

Drafted By: DB

PTO Customer No: 22883

Mailed By: DRS

Mailing Date: 6-19-2002



SWERNOFSKY
Law Group PC

P.O. Box 390013
Mountain View, California 94039-0013



22883

PATENT TRADEMARK OFFICE

Attorney Docket 101.1001.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Malcolm

Art Unit: 2161

Serial No. 09/093,533

Examiner: Wang, M.

Filed: 6/8/1998

For: Network Object Cache Engine

#33

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on 6-19-2002 by [Signature]
Date Name

TRANSMITTAL OF FORMAL DRAWINGS

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

With respect to the above-identified patent application, enclosed herewith for filing are four (4) sheets of formal drawings.

Respectfully submitted,

Dated: 06-19-2002

[Signature]

Steven A. Swernofsky

Reg. No. 33,040

Swernofsky Law Group
P.O. Box 390013
Mountain View, CA 94039-0013
(650) 947-0700



22883

PATENT TRADEMARK OFFICE

Attorney Docket 101.1001.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#32

In re Application of: Malcolm

Art Unit: 2161

Serial No. 09/093,533

Examiner: Wang, M.

Filed: 6/8/1998

For: Network Object Cache Engine

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents
BOX ISSUE FEE
Washington, D.C. 20231

on 6-19-2002 by [Signature]
Date Name

CHANGE OF STATUS LETTER

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

Please be advised that the applicant of the above-mentioned patent application no longer claims small entity status.

Respectfully submitted,

Dated: 06-19-2002

[Signature]
Steven A. Swernofsky
Reg. No. 33,040

Swernofsky Law Group
P.O. Box 390013
Mountain View, CA 94039-0013
(650) 947-0700